

10/579528

AP20 Rec'd PCT/PTO 15 MAY 2006

Express Mail #EV815067969US

Patent

Attorney Docket # 5367-239PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Tony ALBRECHT et al.

Int'l PCT Serial No.: PCT/DE2004/002477

Int'l Filing Date:: November 9, 2004

For: VCSEL Pumped in a Monolithically
Optical Manner and Comprising a
Laterally Applied Edge Emitter

INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

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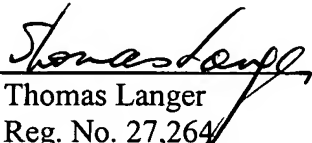
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Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By 
Thomas Langer
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551 Fifth Avenue, Suite 1210
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Dated: May 15, 2006

Substitute for Form 1449/PTO

(Use as many sheets as necessary)

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Attorney Docket Number	5367-239PUS
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind-Code ⁵ (if known)	MM-DD-YYYY			
		JP 2003 283051 A	10/03/2003	Toshiba Corp.		
		DE 101 29 616 A1	01/09/2003	Infineon Technologies		
		DE 102 14 120 A1	10/23/2003	Osram Opto Semiconductors GmbH		
		WO 03/094311 A	11/13/2003	Osram Opto Semiconductors GmbH		

Date Considered

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Check box if applicable:

☐ DUPLICATE

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USP 6,954,479 B2 corresponds to WO 01/93386 A1.

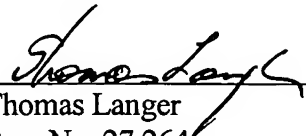
The references submitted herewith have been discussed on pages 1 and 2 of the instant specification.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

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Dated: May 15, 2006

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Substitute for Form 1449/PTO <div style="text-align: center;"> INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) </div>	<i>Complete if Known</i>	
	Application Number	10/579528
	Filing Date	10/579528
	First Named Inventor	Tony ALBRECHT
	Art Unit	
	Examiner Name	
	Attorney Docket Number	5367-239PUS
Sheet 1 of 2		

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Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**

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	Filing Date	
	First Named Inventor	Tony ALBRECHT
	Art Unit	
	Examiner Name	
Sheet 2 of 2	Attorney Docket Number	5367-239PUS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Michael D. Gerhold, et al., "Novel Design of a Hybrid-Cavity Surface Emitting Laser", IEEE Journal of Quantum Electronics, Vol., 34, No. 3, pp. 506-511, March 1998	

Examiner Signature		Date Considered	
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